Filing (surcharge (37 CFR 1.16 (e)) required)

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with Initial

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	Attorney Docket Number	TAPR/SUS
DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION	First Named Inventor	JETTE, Stéphanie Marie-Julie
	COMPLET	IF KNOWN
(37 CFR 1.63)	Application Number	
	Filing Date	
□ Declaration □ Declaration □ Submitted OR Submitted after Initial	Group Art Unit	

Examiner Name

As a below named inventor, I he	reby declare that:			-				
My residence, mailing address, and citizenship are as stated below next to my name.								
I believe I am the original, first and names are listed below) of the sub	sole inventor (if only or ject matter which is clai	ne name is listed below) of med and for which a pate	or an original, first ent is sought on th	and joint inventor (if plural ie invention entitled.				
OPTICAL WAVEGUIDE FIL	TERS							
	(Title of the Invention)							
the specification of which	·							
is attached hereto								
OR		as United St	ates Application N	lumber or PCT International				
☐ was filed on (MM/DD/YYYY)				(if applicable).				
Application Number	and was a	mended on (MM/DD/YY	YY)					
I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.								
I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation- in-part applications, material information which became available between the filling date of the prior application and the national or PCT international filing date of the continuation-in-part application.								
I hereby claim foreign priority ben certificate, or 365(a) of any PCT i America, listed below and have certificate, or any PCT internation	international application	which designated at least the box a	ost one country of ny foreign applic	ation for patent or inventor's				
Prior Foreign Application Number(s)	Country	Foreign Fillng Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached? YES NO				
PCT/CA 00/01525 2,319,949 2,314,723	International Canada Canada	12/22/2000 09/20/2000 07/31/2000						
☐ Additional foreign application	numbers are listed on a	supplemental priority da	ta sheet PTO/SB	/02B attached hereto:				
I hereby claim the benefit under								
Application Number(s)		e (MM/DD/YYYY)						
N/A Agent's docket No.AP875U	JSP 06	22/2001	numbers supplem	al provisional application are listed on a ental priority data sheet 102B attached hereto.				

[Page 1 of 2]
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DECLARATION — Utility or Design Patent Application

Direct all	correspondence to:	Customer Nu or Bar Code L	,			OR	X	Correspondence address below
Name	Adams Cassan Macle	an					·	
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Address								
City	Ottawa				Ontario State)		K2H 7T8 ZIP
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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.								
NAME C	OF SOLE OR FIRST IN	VENTOR:			A petition	n has t	been file	ed for this unsigned inventor
Given Nam (first and n	ne Stéphanie middle [if any])	e Marie-Julie	e		Family Na or Surnan	41110	ETTE	
inventor's Signature		- Jett	<u>′</u>					26 July 200/
Residence	Kanata	V		Ontario State	ı	anada		Canadian Citizenship
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NAME O	F SECOND INVENTOR	₹:			A petition	n has t	een file	ed for this unsigned inventor
Given Nam (first and m	Pierre Simo	on Joseph			Family Nei		rini	
Inventor's Signature	I some &	Ju-	~					26 My 2001
Residence:	: /city Ottawa	/ 		Onter State		anada Country		Canadian Citizenship
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Ottawa	a	Ontario State			K1Y 0X6)		Canada
Addition	al inventors are being named		upplemer			(s) shee	t(s) PTO/	Country SB/02A attached hereto

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	Application Number	
	Filing Date	
POWER OF ATTORNEY OR	First Named Inventor	JETTE, Stéphanie Marie-Julie
AUTHORIZATION OF AGENT	Group Art Unit	
	Examiner Name	
	Attorney Docket Number	AP875US

				
I hereby appoint: Practitioners at OR Practitioner(s) n	Customer Number		→ N	lace Customer iumber Bar Code abel here
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Lynn S. Cas			32378	
P. Scott Ma	clean		39543	
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Country	Canada			1 = P
Telephone	(613) 828 0012	Fax (61	3) 828 0024	
l am the: Applicant/Invent Assignee of rec Statement unde	tor. ord of the entire interest. See 37 C r 37 CFR 3.73(b) is enclosed. (For	FR 3.71. m PTO/SB/96)		
	SIGNATURE of Applicant or A	ssignee of Reco	ord	
Name	Simon Joseph BERINI			
Signature	len, Jon			
Date	26 the 2001			
NOTE: Signatures of all the inver forms if more than one signature	ntors or assigneds of record of the entire in is required, see below.	terest or their repre	esentative(s) ar	e required. Submit multiple
DX *Total of 2 of 2 for	rms are submitted.			

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Application Number	
Filing Date	
First Named Inventor	JETTE, Stéphanie Marie-Julie
Group Art Unit	
Examiner Name	
Attorney Docket Number	AP875US

Practitioners at Customer Number Number	ce Customer nber Bar Code el here
Name Registration Nu	ımber
Thomas Adams 31078	
Lynn S. Cassan 32378	
P. Scott Maclean 39543	
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Telephone (613) 828 0012 Fax (613) 828 0024	
I am the: Applicant/Inventor. Assignee of record of the entire interest. See 37 CFR 3.71.	
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).	•
SIGNATURE of Applicant or Assignee of Record	
Stéphanie Marie-Julie JETTE	
rainc	
Signature Stephanio Att	
Signature Stephanie Juthi	
Signature Staphania Gutti	required. Submit multiple

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ASSIGNMENT

(All rights, all countries)

In consideration of the sum of One Dollar (\$1.00) and other good and valuable consideration, receipt of which is hereby acknowledged, each undersigned inventor does hereby confirm his/her sale, assignment, transfer and setting over, and does hereby sell assign, transfer and set over to:

3849988 Canada Inc.

(Assignee),

whose full post office address is:

University of Ottawa
Faculty of Engineering - SITE
Colonel By Hall, Room B207
P.O. Box 450, Station A
Ottawa, Ontario
Canada K1N 6N5

its successors, assigns and legal representatives, his/her entire right, title and interest, including priority rights, for all countries in and to certain inventions as fully set forth and described in United States provisional patent application entitled "Optical Waveguide Filters" filed June 22, 2001, and in a United States patent application and a corresponding International patent application both executed contemporaneously herewith, together with his/her entire right, title and interest in and to any and all Letters Patent which may issue or be re-issued for said inventions to the full end of the term for which each said Letters Patent may be granted:

AND hereby authorizes the issuance to said Assignee of any and all said Letters Patent not already issued as the assignee of his/her entire right, title and interest in and to the same, for the sole use and benefit of said assignee, its successors, assigns or legal representatives:

AND on behalf of himself/herself and his/her executors and administrators, hereby covenants and agrees to do all such lawful acts and things and to execute without further consideration such further lawful assignments, documents, assurances, applications, and other instruments as may reasonably be required by said Assignee, its successors, assigns or legal representatives, to obtain any and all said Letters Patent, in any country, for said invention and vest the same in said Assignee, its successors, assigns or legal representatives.

The little state from the state of the state

The undersigned hereby grants the firm Adams Cassan Maclean the power to insert in this Assignment any further identification which may be necessary or desirable to comply with the rules of a patent office for recordation of this Assignment.

EXECUTED AT OTTAWA

THIS AL DAY

2001

Christine (

Christine Cardarelli

Christine Cardarelli Witness' Signature

Christine Cardarelli Wilness' Name

INVENTOR 2: Pierre Simon Joseph Berini